

CUMMINS SPEAK UP POLICY: EUROPEAN UNION

LIST OF EXTERNAL REPORTING CHANNELS

1. Romania

It may be appropriate to raise a concern to an external body where: (i) it is not possible to effectively address the breach through internal mechanisms for reporting; and b) there is a risk of retaliation if the breach is addressed internally.

Romanian Authority	Access to whistleblowing channel
National Integrity Agency (<i>Agentia Nationala pentru Integritate – ANI</i>)	https://avertizori.integritate.eu/ <i>15 Lascarescu Avenue, District 1, Postal Code 010661, Bucharest, Romania Tel: +40.372.069.869, E-mail: avertizari@integritate.eu.</i>

2. Germany

Germany Authority	Access to whistleblowing channel
Externe Meldestelle des Bundes beim Bundesamt für Justiz	https://www.bundesjustizamt.de/DE/MeldestelledesBundes/MeldestelledesBundes_node.html
Bundesanstalt für Finanzdienstleistungsaufsicht	https://www.bafin.de/DE/DieBaFin/Hinweisgeberstelle/hinweisgeberstelle_node.html
Bundeskartellamt	https://www.bundeskartellamt.de/DE/Aufgaben/Kartelle/HinweiseAufKartellverstoesse/hinweiseaufverstoesse.html

3. Belgium

Belgian Authority	Access to whistleblowing channel
<p>Reporting via the Federal Ombudsman</p> <p>Official list of the competent authorities</p>	<p>Reporting integrity violations or breaches of law (federaalombudsman.be)</p>

4. France

French Authority	Access to whistleblowing channel
<p>The Rights Defender</p>	<ul style="list-style-type: none"> - post, free of charge with no stamp: Rights Defender - Free reply 71120 - 75342 Paris CEDEX 07. - filling in the online form defenseurdesdroits.fr / " Saisir le Défenseur des droits ". - telephone on 09 69 39 00 00, from Monday to Friday, 8.30am to 7.30pm. (cost of a local call).
<p>The judicial authority</p>	<ol style="list-style-type: none"> 1. <u>Public Markets:</u> <ul style="list-style-type: none"> - Agence française anticorruption (AFA), pour les atteintes à la probité - Direction générale de la concurrence, de la consommation et de la répression des fraudes (DGCCRF), pour les pratiques anticoncurrentielles - Autorité de la concurrence, pour les pratiques anticoncurrentielles 2. <u>Financial services, products and markets and the prevention of money laundering and terrorist financing:</u> <ul style="list-style-type: none"> - Autorité des marchés financiers (AMF), pour les prestataires en services d'investissement et infrastructures de marchés - Autorité de contrôle prudentiel et de résolution (ACPR), pour les établissements de crédit et organismes d'assurance 3. <u>Product safety and conformity:</u> <ul style="list-style-type: none"> - Direction générale de la concurrence, de la consommation et de la répression des fraudes (DGCCRF) - Service central des armes et explosifs (SCAE) 4. <u>Transport security:</u> <ul style="list-style-type: none"> - Direction générale de l'aviation civile (DGAC), pour la sécurité des transports aériens - Bureau d'enquêtes sur les accidents de transport terrestre (BEA-

	<p>TT), pour la sécurité des transports terrestres (route et fer) - Direction générale des affaires maritimes, de la pêche et de l'aquaculture (DGAMPA), pour la sécurité des transports maritimes</p> <p>5. <u>Environmental protection</u>: Inspection générale de l'environnement et du développement durable (IGEDD)</p> <p>6. <u>Radiation protection and nuclear safety</u>: Autorité de sûreté nucléaire (ASN)</p> <p>7. <u>Food safety</u>: - Conseil général de l'alimentation, de l'agriculture et des espaces ruraux (CGAAER) - Agence nationale chargée de la sécurité sanitaire de l'alimentation, de l'environnement et du travail (ANSES)</p> <p>8. <u>Public health</u>: - Agence nationale chargée de la sécurité sanitaire de l'alimentation, de l'environnement et du travail (ANSES) - Agence nationale de santé publique (Santé publique France, SpF) - Haute Autorité de santé (HAS) - Agence de la biomédecine - Etablissement français du sang (EFS) - Comité d'indemnisation des victimes des essais nucléaires (CIVEN) - Inspection générale des affaires sociales (IGAS) - Institut national de la santé et de la recherche médicale (INSERM) - Conseil national de l'ordre des médecins, pour l'exercice de la profession de médecin - Conseil national de l'ordre des masseurs-kinésithérapeutes, pour l'exercice de la profession de masseur-kinésithérapeute - Conseil national de l'ordre des sages-femmes, pour l'exercice de la profession de sage-femme - Conseil national de l'ordre des pharmaciens, pour l'exercice de la profession de pharmacien - Conseil national de l'ordre des infirmiers, pour l'exercice de la profession d'infirmier - Conseil national de l'ordre des chirurgiens-dentistes, pour l'exercice de la profession de chirurgien-dentiste - Conseil national de l'ordre des pédicures-podologues, pour l'exercice de la profession de pédicure-podologue - Conseil national de l'ordre des vétérinaires, pour l'exercice de la profession de vétérinaire</p> <p>9. <u>Consumers protection</u>: Direction générale de la concurrence, de la consommation et de la répression des fraudes (DGCCRF)</p> <p>10. <u>Protection de la vie privée et des données Protection of privacy and personal data, network and information systems security</u>: - Commission nationale de l'informatique et des libertés (CNIL)</p>
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- Agence nationale de la sécurité des systèmes d'information (ANSSI)

11. Violations affecting the financial interests of the European Union:

- Agence française anticorruption (AFA), pour les atteintes à la probité
- Direction générale des finances publiques (DGFIP), pour la fraude à la taxe sur la valeur ajoutée
- Direction générale des douanes et droits indirects (DGDDI), pour la fraude aux droits de douane, droits anti-dumping et assimilés

12. Internal market violations:

- Direction générale de la concurrence, de la consommation et de la répression des fraudes (DGCCRF), pour les pratiques anticoncurrentielles
- Autorité de la concurrence, pour les pratiques anticoncurrentielles et les aides d'Etat
- Direction générale des finances publiques (DGFIP), pour la fraude à l'impôt sur les sociétés

13. Activities conducted by the Ministry of Defense:

- Contrôle général des armées (CGA)
- Collège des inspecteurs généraux des armées

14. Public statistics: Autorité de la statistique publique (ASP)

15. Agriculture: Conseil général de l'alimentation, de l'agriculture et des espaces ruraux (CGAAER)

16. Education and higher education: Médiateur de l'éducation nationale et de l'enseignement supérieur

17. Individual and collective labor relations, working conditions: Direction générale du travail (DGT)

18. Employment and vocational training: Délégation générale à l'emploi et à la formation professionnelle (DGEFP)

19. Culture :

- Conseil national de l'ordre des architectes, pour l'exercice de la profession d'architecte
- Conseil des maisons de vente, pour les enchères publiques

20. Rights and freedoms in relations with State administrations, local authorities, public establishments and organizations with a public service mission: Défenseur des droits ;

21. Best interests and rights of the child: Défenseur des droits

22. Discrimination: Défenseur des droits

	23. <u>Ethics of security professionals</u> : Défenseur des droits.
Other	any institution, body or agency of the European Union competent to collect information on violations falling within the scope of the directive of 23 October 2019.

If, after having raised your concern with a Competent Authority as described above, no appropriate measures have been taken in response within three months of acknowledgment of receipt of your report (which can be extended by the authority to six months in certain circumstances), you can disclose your concerns to the public. However, in the case of a serious and imminent danger or if referring the concern to one of the Competent Authorities would put you at risk of retaliation, or would not enable the subject of the disclosure to be effectively remedied, due to the particular circumstances of the case (e.g. if evidence may be concealed or destroyed, or if you have serious grounds for believing that the authority may be in a conflict of interest, in collusion with the person making the report, or implicated in it) the concern may be raised directly with the public.

5. Italy

Italian Authority	Access to whistleblowing channel
<i>Autorità Nazionale Anticorruzione</i>	https://whistleblowing.anticorruzione.it/#/

6. Sweden

Swedish Authority	Access to whistleblowing channel
Work Environment Authority	Reports concerning certain product security (relating to e.g. protective equipment and machines), as well as all misconduct that is not covered by any other authority's area of responsibility https://www.av.se/om-oss/visselblasarlagen/extern-rapporteringskanal/?hl=rapporteringskanal
National Board of Housing, Building and Planning	Reports concerning product security relating to construction https://www.boverket.se/sv/om-boverket/visselblasning/
Safe and interference-free electricity	Reports concerning certain product security relating to electrical safety https://www.elsakerhetsverket.se/yrkespersoner/tillverka-och-salja-elprodukter/sla-larm-om-missforhallanden/
Economic Crime Authority	Reports concerning EU's financial interests, such as EU fraud and certain VAT fraud

	https://www.ekobrottsmyndigheten.se/visselblasarfunktion-eu-medel/
Estate Agents Inspectorate	Reports concerning financial services, products and markets or money laundering and finance of terrorism with connections to the real estate market https://fmi.se/det-har-ar-fmi/kontakta-oss/visselblasning-om-penningtvatt-eller-finansiering-av-terrorisn/
Financial Supervisory Authority	Reports concerning certain financial services, products and markets or money laundering and finance of terrorism within the financial market https://www.fi.se/sv/om-fi/kontakta-oss/visselblasare/
Public Health Agency	Reports concerning certain product security (relating to e.g. tobacco) and certain public health issues https://www.folkhalsomyndigheten.se/livsvillkor-levnadsvanor/andts/regler-for-tillverkning-handel-och-hantering/visselblasning-tobaksomradet/
Agency for Marine and Water Management	Reports concerning environmental protection relating to ocean, lakes or watercourses https://www.havochvatten.se/om-oss-kontakt-och-karriar/om-oss/visselblasarfunktion.html
Authority for Privacy Protection	Reports concerning data protection and breaches of GDPR https://www.imy.se/privatperson/utfora-arenden/visselblasning/
Inspectorate of Strategic Products	Reports concerning product security relating to dual-use items or military equipment https://isp.se/om-isp/visselblasning-till-isp/hur-rapportering-ska-ske/
Health and Social Care Inspectorate	Reports concerning certain public health issues (relating to e.g. blood- and transplant operations) https://www.ivo.se/om-ivo/kontakta-oss/visselblasning/
Chemicals Agency	Reports concerning certain product security and environmental protection relating to chemical legislation https://www.kemi.se/om-kemikalieinspektionen/kontakta-oss/extern-kanal-for-visselblasning
Consumer Agency	Reports concerning certain product security, public health or consumer protection issues https://www.konsumentverket.se/om-konsumentverket/var-verksamhet/visselblasning/extern-kanal-for-visselblasning/
Competition Authority	Reports concerning public procurement or competition on the EU market https://www.konkurrensverket.se/tipsa-oss/visselblasarfunktion/
Food Agency	Reports concerning certain product security, environmental protection, food safety and animal well-being. https://www.livsmedelsverket.se/om-oss/kontakt/visselblasning--rapportera-om-missforhallanden

Medical Products Agency	Reports concerning certain product security or public health issues relating to pharmaceutical https://www.lakemedelsverket.se/sv/om-lakemedelsverket/kontakta-oss/visselblasning
County Administrative Boards	Reports concerning certain product security and environmental protection. For information on how to report to a county, please visit the relevant county's website on www.lansstyrelsen.se
Civil Contingencies Agency	Reports concerning certain product security, relating to e.g. pyrotechnical products and explosives used for civil purposes https://www.msb.se/sv/om-msb/kontakta-oss/visselblasning--rapportera--om-missforhallanden/visselblasning--rapportera--om-missforhallanden-inom-omradet-produktsakerhet-och-produktoverensstammelse/
Environmental Protection Agency	Reports concerning certain product security and environmental protection (such as emissions trading) https://www.naturvardsverket.se/om-oss/kontakt/visselblasning/rapportera-missforhallanden-inom-vissa-tillsynsomraden/
Post and Telecom Authority	Reports concerning certain product security and safety relating to network- and information systems and certain data privacy issues within electronic communication https://www.pts.se/sv/om-pts/visselblasning/
Government Offices of Sweden	Reports relating to state aid https://www.regeringen.se/om-webbplatsen/rapportera-missforhallanden-om-statsstod/
Inspectorate of Auditors	Reports concerning financial services, products and markets or money laundering and finance of terrorism relating to auditor requirements https://www.revisorsinspektionen.se/tillsyn/rapportering-om-missforhallanden/
Tax Agency	Certain reports relating to taxes. https://www.skatteverket.se/omoss/varverksamhet/styrningochuppfoljning/skattekontroller/rapporteraommissforhallandeninomskatteområdet.4.1df9c71e181083ce6f636e5.html
Forest Agency	Reports concerning certain product security and environmental protection relating to forestry https://www.skogsstyrelsen.se/kontakt/visselblasarfunktion/
Board of Agriculture	Reports concerning e.g. animal health and well-being, animal protection, animal food and ecological production https://jordbruksverket.se/om-jordbruksverket/visselblasarfunktion-for-offentliga-kontroller

Energy Agency	Reports concerning certain product security or safety relating to network- and information systems https://report.whistleb.com/sv/Energimyndigheten
Radiation Safety Authority	Reports relating to radiation protection and nuclear safety https://www.stralsakerhetsmyndigheten.se/kontakt/visselblasarfunktion/
SWEDAC (Sweden's national accreditation body)	Reports concerning certain product security https://www.swedac.se/visselblasning/#_ftn1
Gambling Authority	Reports concerning financial services, products and markets or money laundering and finance of terrorism relating to gambling companies https://www.spelinspektionen.se/lagar--forordningar/penningvatt/visselblasarfunktion/
Transport Agency	Reports concerning certain product security or transport security https://www.transportstyrelsen.se/sv/Omtransportstyrelsen/Vill-duanmala-misstankar-omkorruption-mutor-eller-jav/

7. Czech Republic

Czech Authority	Access to whistleblowing channel
Ministry of Justice of the Czech Republic	https://oznamovatel.justice.cz

8. Netherlands

Dutch Authority	Access to whistleblowing channel
Authority for Consumer and Markets (<i>Autoriteit Consument en Markt</i>)	Uw tip of melding doorgeven aan de ACM (acm.nl)
Authority for the Financial Markets (<i>Autoriteit Financiële Markten</i>)	+31 (0)800 680 0680, meldingenformulier@afm.nl or Making a notification about an incident or wrongdoing (afm.nl)
Data Protection Authority (<i>Autoriteit Persoonsgegevens</i>)	Home Autoriteit Persoonsgegevens

De Nederlandsche Bank N.V.	+31 (0)800 020 1068, meldpuntmisstanden@dnb.nl or How to report an integrity incident (dnb.nl)
Dutch House for Whistleblowers (<i>Huis voor Klokkeluiders</i>)	Ik vermoed een misstand Huisvoorklokkeluiders
Healthcare and Youth Inspectorate (<i>Inspectie Gezondheidszorg en Jeugd</i>)	Melden over uw werkgever (klokkeluidersmelding) Inspectie Gezondheidszorg en Jeugd (igj.nl)
Netherlands Healthcare Authority (<i>Nederlandse Zorgautoriteit</i>)	Meldpunt misstanden bij zorgaanbieders of zorgverzekeraars (klokkeluiders) Contact Nederlandse Zorgautoriteit (nza.nl)
Nuclear Safety and Radiation Protection Authority (<i>Autoriteit Nucleaire Veiligheid en Stralingsbescherming</i>)	Overtreding of misstand melden Autoriteit NVS
Netherlands Food and Consumer Product Safety Authority (<i>Nederlandse Voedsel- en Warenautoriteit</i>)	Melding doen NVWA
Netherlands Labour Authority (<i>Nederlandse Arbeidsinspectie</i>)	Melden Nederlandse Arbeidsinspectie (nlarbeidsinspectie.nl)
Human Environment and Transport Inspectorate (<i>Inspectie Leefomgeving en Transport</i>)	Home Inspectie Leefomgeving en Transport (ILT) (ilent.nl)