

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

**or calendar year 2012 or tax year beginning** \_\_\_\_\_, **2012, and ending** \_\_\_\_\_, **20**

Name of foundation: The Cummins Foundation, Inc.

Number and street (or P.O. box number if mail is not delivered to street address): Box 3005, M/C 60113 Room/suite \_\_\_\_\_

City or town, state, and ZIP code: Columbus, IN 47202-3005

**A Employer identification number** 35-6042373

**B Telephone number (see instructions)** (812) 377-3321

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$** 44,908,322

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
*(Part I, column (d) must be on cash basis.)*

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	14,105,100			
	<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	41,486	41,486	41,486	
	<b>4</b> Dividends and interest from securities	341,047	341,047	341,047	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	(178,522)			
	<b>b</b> Gross sales price for all assets on line 6a <u>5,935,323</u>				
	<b>7</b> Capital gain net income (from Part IV, line 2)		0		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)	0				
<b>11</b> Other income (attach schedule)	849,857				
<b>12 Total.</b> Add lines 1 through 11	15,158,968	382,533	382,533		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	13,300			
	<b>c</b> Other professional fees (attach schedule)	893,150			
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	10,000			
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	49,971			
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	966,421	0	0	0
	<b>25</b> Contributions, gifts, grants paid	6,895,220			7,328,386
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	7,861,641	0	0	7,328,386	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	7,297,327				
<b>b Net investment income</b> (if negative, enter -0-)		382,533			
<b>c Adjusted net income</b> (if negative, enter -0-)			382,533		

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

	Beginning of year (a) Book Value	End of year	
		(b) Book Value	(c) Fair Market Value
<b>Assets</b>			
1 Cash—non-interest-bearing			
2 Savings and temporary cash investments	192,676	327,672	327,672
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶ 500,000			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use	750,000	500,000	500,000
9 Prepaid expenses and deferred charges			
10a Investments—U.S. and state government obligations (attach schedule)	500	500	500
b Investments—corporate stock (attach schedule)			
c Investments—corporate bonds (attach schedule)			
11 Investments—land, buildings, and equipment: basis ▶			
Less: accumulated depreciation (attach schedule) ▶			
12 Investments—mortgage loans			
13 Investments—other (attach schedule)			
14 Land, buildings, and equipment: basis ▶	36,726,411	43,975,392	43,975,392
Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶ <u>Interest Receivable</u> )	0	104,758	104,758
16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	37,669,587	44,908,322	44,908,322
<b>Liabilities</b>			
17 Accounts payable and accrued expenses	65,000	439,574	
18 Grants payable	1,212,166	779,000	
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶ )			
23 <b>Total liabilities</b> (add lines 17 through 22)	1,277,166	1,218,574	
<b>Net Assets or Fund Balances</b>			
<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input checked="" type="checkbox"/>			
24 Unrestricted	36,392,421	43,689,748	
25 Temporarily restricted			
26 Permanently restricted			
<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b> (see instructions)	36,392,421	43,689,748	
31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	37,669,587	44,908,322	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	36,392,421
2 Enter amount from Part I, line 27a	<b>2</b>	7,297,327
3 Other increases not included in line 2 (itemize) ▶	<b>3</b>	
4 Add lines 1, 2, and 3	<b>4</b>	43,689,748
5 Decreases not included in line 2 (itemize) ▶	<b>5</b>	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	<b>6</b>	43,689,748

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)

(b) How acquired  
P—Purchase  
D—Donation

(c) Date acquired  
(mo., day, yr.)

(d) Date sold  
(mo., day, yr.)

<b>1a</b> See Attached Schedule			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 5,935,323		6,113,845	(178,522)
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	(178,522)
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	<b>3</b>	(178,522)

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

or optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	9,667,351	30,388,558	0.3181
2010	7,258,872	19,726,286	0.3680
2009	5,978,994	14,276,837	0.4188
2008	6,131,792	15,865,008	0.3865
2007	5,765,469	13,595,963	0.4241

<b>2</b> Total of line 1, column (d)	<b>2</b>	1.9155
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.3831
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	<b>4</b>	43,890,946
<b>5</b> Multiply line 4 by line 3	<b>5</b>	16,814,621
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	3,825
<b>7</b> Add lines 5 and 6	<b>7</b>	16,818,446
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	7,328,386

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
<b>1</b>			7,651
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
<b>3</b>	Add lines 1 and 2		7,651
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		7,651
<b>6</b>	Credits/Payments:		
<b>a</b>	2012 estimated tax payments and 2011 overpayment credited to 2012	<b>6a</b>	8,488
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d		
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8,488
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>		0
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>		837
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2013 estimated tax</b> <span style="float:right">837</span> <b>Refunded</b> <span style="float:right">▶</span>		0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b>		X
<b>b</b>		X
<b>1b</b>		X
<b>1c</b>		X
<b>2</b>		X
<b>3</b>		X
<b>4a</b>		X
<b>4b</b>	N/A	
<b>5</b>		X
<b>6</b>	X	
<b>7</b>	X	
<b>8b</b>	X	
<b>9</b>		X
<b>10</b>		X

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) 11

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13

Website address

14 The books are in care of  Telephone no.   
 Located at  ZIP+4

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here.

16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 15    
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country 16

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  Yes  No

b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  1b N/A

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?  1c

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  Yes  No  
 If "Yes," list the years  ,  ,  ,

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) 2b N/A

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  
 ,  ,  ,

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes  No

b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) 3b N/A

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? 4b

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  Yes  No

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Exhibit B				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	
.....	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> NONE	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	

Total. Add lines 1 through 3 . . . . . ▶

0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities		
<b>b</b>	Average of monthly cash balances	<b>1a</b>	43,778,371
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1b</b>	112,575
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1c</b>	
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1d</b>	43,890,946
		<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	43,890,946
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	43,890,946
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	2,194,547

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	2,194,547
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	7,651
<b>b</b>	Income tax for 2012. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	7,651
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	2,186,896
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	2,186,896
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	2,186,896

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	7,328,386
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	7,328,386
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	7,328,386

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7 . . . . .				
<b>2</b> Undistributed income, if any, as of the end of 2012:				2,186,896
<b>a</b> Enter amount for 2011 only . . . . .				
<b>b</b> Total for prior years: 20__ ,20__ ,20__				
<b>3</b> Excess distributions carryover, if any, to 2012:				
<b>a</b> From 2007 . . . . .	5,103,342			
<b>b</b> From 2008 . . . . .	5,347,402			
<b>c</b> From 2009 . . . . .	5,266,964			
<b>d</b> From 2010 . . . . .	6,275,086			
<b>e</b> From 2011 . . . . .	8,154,935			
<b>f</b> <b>Total</b> of lines 3a through e . . . . .	30,147,729			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ <u>7,328,386</u>				
<b>a</b> Applied to 2011, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2012 distributable amount . . . . .				2,186,896
<b>e</b> Remaining amount distributed out of corpus . . . . .	5,141,490			
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	35,289,219			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .	5,103,342			
<b>9</b> <b>Excess distributions carryover to 2013.</b> Subtract lines 7 and 8 from line 6a . . . . .	30,185,877			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2008 . . . . .	5,347,402			
<b>b</b> Excess from 2009 . . . . .	5,266,964			
<b>c</b> Excess from 2010 . . . . .	6,275,086			
<b>d</b> Excess from 2011 . . . . .	8,054,935			
<b>e</b> Excess from 2012 . . . . .	5,141,490			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . .  N/A
- b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years			(e) Total	
	(a) 2012	(b) 2011	(c) 2010		(d) 2009
b 85% of line 2a . . . . .	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					0
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .	0	0	0	0	0

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets . . . . . 0

(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . . 0

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . . 0

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . 0

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . . 0

(3) Largest amount of support from an exempt organization . . . . . 0

(4) Gross investment income . . . . . 0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

Paula Blewett, Administrative Assistant, Box 3005, M/C 60028, Columbus, IN 47202-3005 (812)377-3746

b The form in which applications should be submitted and information and materials they should include:

See Exhibit C

c Any submission deadlines:

NE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Exhibit C

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
Approved during 2012, paid during 2012				6,741,220
Approved prior to 2012, paid during 2012				587,166
See Exhibit D				
<b>Total</b>				<b>3a</b> 7,328,386
<b>b Approved for future payment</b>				
Approved prior to 2012, not yet paid				625,000
Approved during 2011, not yet paid				154,000
See Exhibit E				
<b>Total</b>				<b>3b</b> 779,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Fees and contracts from government agencies, Membership dues and assessments, Interest on savings and temporary cash investments, Dividends and interest from securities, Net rental income or (loss) from real estate, Net rental income or (loss) from personal property, Other investment income, Gain or (loss) from sales of assets other than inventory, Net income or (loss) from special events, Gross profit or (loss) from sales of inventory, Other revenue, Subtotal, and Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- |              | Yes | No |
|--------------|-----|----|
| <b>1a(1)</b> |     | x  |
| <b>1a(2)</b> |     | x  |
| <b>1b(1)</b> |     | x  |
| <b>1b(2)</b> |     | x  |
| <b>1b(3)</b> |     | x  |
| <b>1b(4)</b> |     | x  |
| <b>1b(5)</b> |     | x  |
| <b>1b(6)</b> |     | x  |
| <b>1c</b>    |     | x  |
- a** Transfers from the reporting foundation to a noncharitable exempt organization of:
- (1) Cash . . . . .
- (2) Other assets . . . . .
- b** Other transactions:
- (1) Sales of assets to a noncharitable exempt organization . . . . .
- (2) Purchases of assets from a noncharitable exempt organization . . . . .
- (3) Rental of facilities, equipment, or other assets . . . . .
- (4) Reimbursement arrangements . . . . .
- (5) Loans or loan guarantees . . . . .
- (6) Performance of services or membership or fundraising solicitations . . . . .
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

*Marsha K-Albano* 8/15/13 *J. J. Joanner*

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)?  Yes  No

**Paid Preparer Use Only**

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed
Firm's name ▶	PTIN		
Firm's address ▶	Firm's EIN ▶		
	Phone no.		

## Line 6 (990-PF) Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	Date Acquired	Acquisition Method	Date Sold	5,935,323 6,113,845		Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain/(Loss)
						Gross Sales Price	Cost or Other Basis				
1		1028.41 - FNMA Pool #468910	11/20/12								
2		12308.94 - CMO NCUA GTD NTS TR	11/26/12		12/04/12	1,028	1,030				(2)
3		500000 - Treasury Bill Due 12.27.12	11/27/12		12/06/12	12,309	12,490				(181)
4		10305.54 - CMO GE COML MTG CORP	11/29/12		12/06/12	499,970	499,958				12
5		1250000 - Treasury Bill Due 12.27.12	11/29/12		12/10/12	10,306	10,878				(572)
6		250000 - Treasury Bill Due 12.27.12	11/27/12		12/10/12	1,249,941	1,249,914				27
7		500000 - United States Treas NTS DTD	11/27/12		12/11/12	249,990	249,984				6
8		4612.9 - CMO Wells Fargo COML MTG TR	11/27/12		12/12/12	499,668	499,549				119
9		125000 - Merrill Lynch & Co Inc.	11/26/12		12/17/12	4,613	4,616				(3)
10		330000 - United States Treas NTS DTD	11/27/12		12/19/12	133,605	133,414				191
11		390.93 - FNMA SER	12/03/12		12/21/12	329,807	329,709				98
12		Sale of Vanguard Stock	99/99/99		12/25/12	391	396				(5)
13		Sale of Northern Trust Ultra Short Fixed Income Fund	99/99/99		99/99/99	2,822,761	3,121,907				(299,146)
14		Sale of Northern Trust Ultra Short Fixed Income Fund	99/99/99		99/99/99	20,349	-				20,349
					99/99/99	100,586	-				100,586

**Line 11 (990-PF) - Other Income**

		849,857			
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Unrealized Gain/(Loss) on Investments	849,857			
2					
3					
4					
5					
6					
7					
8					
9					
10					

**Line 16b (990-PF) - Accounting Fees**

		13,300			
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Blue & Co., LLC - Audit Fees	8,200			
2	In-kind Accounting Services	5,100			
3					
4					
5					
6					
7					
8					
9					
10					



**Line 16c (990-PF) - Other Fees**

893,150					
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Cummins Inc. - Administrative Fees	824,478			
2	Global Giving - Consultant Fees	68,672			
3					
4					
5					
6					
7					
8					
9					
10					

**Line 18 (990-PF) - Taxes**

		10,000			
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Tax on investment income	10,000			
2					
3					
4					
5					
6					
7					
8					
9					
10					

**Line 23 (990-PF) - Other Expenses**

49,971

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Memberships	37,500			
2	Software Training	9,157			
3	Administrative Expense	2,659			
4	Investment Expense	655			
5					
6					
7					
8					
9					
10					



**Part II, Line 13 (990-PF) - Investments - Other**

			36,726,411	43,975,392	43,975,392
	<b>Item or Category</b>	<b>Basis of Valuation</b>	<b>Book Value Beg. Of Year</b>	<b>Book Value End Of Year</b>	<b>FMV End of Year</b>
1	Mutual Fund		31,355,733	33,160,148	33,160,148
2	Money Market Funds		5,370,678	10,815,244	10,815,244
3					
4					
5					
6					
7					
8					
9					
10					

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date		Amount
1 Credit from prior year return.....	-	1	488
2 First quarter estimated tax payment.....	-	2	2,000
3 Second quarter estimated tax payment.....	-	3	2,000
4 Third quarter estimated tax payment.....	-	4	2,000
5 Fourth quarter estimated tax payment.....	-	5	2,000
6 Other payments.....	-	6	
7 Total.....	-	7	8,488

# Schedule of Contributors

**2012**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization

The Cummins Foundation, Inc.

Employer identification number

35-6042373

Organization type (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

The Cummins Foundation, Inc.

Employer identification number

35-6042373

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Cummins Inc. Box 3005, M/C 60113 Columbus, IN 47202-3005	\$ 14,100,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization  
**The Cummins Foundation, Inc.**

Employer identification number  
**35-6042373**

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----
-----	----- ----- ----- -----	\$-----	-----

Name of organization

The Cummins Foundation, Inc.

Employer identification number

35-6042373

**Part III**

**Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	-----	-----	-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	-----	-----	-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	-----	-----	-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	-----	-----	-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	

The Cummins Foundation Inc.  
35-6042373  
Schedule 1

Part I

Line 1 - Contributions, gifts, grants, etc. received

<u>Date Accrued</u>	<u>Contributor</u>	<u>Amount</u>
03/31/12	Cummins Inc.	\$7,100,000
06/30/12	Cummins Inc.	\$7,000,000
	<b>Total</b>	<b>\$14,100,000</b>

The Cummins Foundation Inc.

35-6042373

A Statement Attached to and Made Part of  
Return of Private Foundation Exempt from  
Income Tax for Year Ended 12-31-12

Form 990-PF, Page 6, Part VIII, Item I – Compensation of  
Officers, Directors, Trustees, Foundation Managers

<u>Name &amp; Address</u>	<u>Title</u>	<u>Time Devoted To Business</u>	<u>Compensation</u>
Tom Linebarger Cummins Inc. Box 3005 – M/C 60913 Columbus, IN 47202-3005	Chairman	Nominal	None
Jean Blackwell Cummins Inc. One American Square Suite 1800 – M/C C0014 Indianapolis, IN 46282	CEO	33% of time	None
Tony Satterthwaite Cummins Inc. 3850 Victoria Street, North M/C – OC300 Shoreview, MN 55126	Director	Nominal	None
Mark Gerstle Cummins Inc. 500 Jackson Street Box 3005 – M/C 60903 Columbus, IN 47202-3005	Director	Nominal	None

<u>Name &amp; Address</u>	<u>Title</u>	<u>Time Devoted To Business</u>	<u>Compensation</u>
Will Miller The Wallace Foundation 5 Penn Plaza New York, NY 10001	Director	Nominal	None
Marya Rose Cummins Inc. One American Square Suite 1800 M/C C0014 Indianapolis, IN 46282	Director	Nominal	None
Pat Ward Cummins Inc. Box 3005 – M/C 60911 Columbus, IN 47202-3005	Director	Nominal	None
Anant Talaulicar Cummins Inc. Box 3005 – M/C C0045 Columbus, IN 47202-3005	Director	Nominal	None
Marsha Allamanno Cummins Inc. 301 Jackson Street M/C 91671 Columbus, IN 47202-3005	Treasurer	Nominal	None
Mary Chandler Cummins Inc. One American Square Suite 1800 – M/C C0014 Indianapolis, IN 46282	Secretary	Nominal	None

The Cummins Foundation Inc.  
35-6042373

A Statement Attached to and Made Part of  
Return of Private Foundation Exempt from  
Income Tax for Year Ended 12-31-12

Page 10, Part XV, Line 2 (b) and (d)- Information regarding contribution, grant, gift, loan, scholarships, etc. programs.

The Foundation's budget year is from January 1 through December 31. The Foundation directors meet four times a year to consider new programs and approve grants. The staff has authority to make grants under \$250,000 and larger grants requiring immediate action may be approved by the Executive Committee between meetings.

Inquiries and proposals may be submitted in writing at anytime during the year. A preliminary proposal should include a brief description of the problem being addressed, outcomes anticipated, the operating budget and additional financial requirements necessary.

The Foundation primarily makes grants in the communities where the Company has business interests. Cummins believes a Company is only as healthy as the communities where we do business. The present global priority areas include: the environment, education and social justice. The Foundation looks for projects where Cummins employees are engaged in making a meaningful and significant community contribution and where possible specific and measurable outcomes have been defined to guide the work.

The Foundation does not support political causes or candidates, or sectarian religious activities. No grants are made to individuals.

**The Cummins Foundation, Inc.**  
**35-6042373**  
**Exhibit D**  
**Grants Summary**

<p>Unpaid Commitments Per Return <u>As Of 1-1-12</u></p> <p style="text-align: right;">1,212,166</p>	<p>Reconciling <u>Items*</u></p> <p style="text-align: right;">0</p>	<p>Commitments Made <u>In 2012</u></p> <p>Dr</p> <p style="text-align: right;">6,895,220</p>	<p>Commitments Paid <u>In 2012</u></p> <p>Cr</p> <p style="text-align: right;">(7,328,386)</p>	<p><u>Rounding</u></p> <p style="text-align: right;">0</p>	<p>Unpaid Commitments Per Return <u>As Of 12-31-12</u></p> <p style="text-align: right;">779,000</p>
--	--	--	--	--	--

# 2012 Paid Program Grants - Cummins Foundation

08/14/2013

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
<b>Court Appointed Advocates for Children</b> 2012 CASA for Kids Playhouse Project \$1,000.00	20699	02/10/12	\$1,000.00	Foundation	5170 2012 CASA for Kids Playhouse Project
<b>American Red Cross</b> Support for the 'Heroes Campaign 2012'. \$3,000.00	20733	03/30/12	\$3,000.00	Foundation	5189 Support for the 'Heroes Campaign 2012'.
<b>Amherst H. Wilder Foundation</b> Early childhood services, families, critical elements for long-term sustainability and expansion \$100,500.00	20779	05/16/12	\$100,500.00	Foundation	5210  Early childhood services, families, critical elements for long-term sustainability and expansion
<b>Amigos of Costa Rica</b> Funding for Estrategia to advance science and technology in Costa Rica \$25,000.00	20716	02/24/12	\$25,000.00	Foundation	EFT  Funding for Estrategia to advance science and technology in Costa Rica
<b>Global Giving</b> Asociacion en la Rehabilitacion y Recreacion con Equinoterapia, A.C. AFIC SLP Philanthropic Award Winner \$8,000.00	20804	06/13/12	\$8,000.00	Foundation	EFT-06/13/2012 AFIC SLP Philanthropic Award Winner
<b>Asociacion Filantropica Cummins, A.C.</b> 3-year grant for the Steve Knaebel Award to SLP Philanthropy (\$13K per year for 2009, 2010 & 2011) \$39,000.00	19999	11/28/12	\$13,000.00	Prior year payments	EFT- 11/28/2012  Final Payment of 3-year grant to the Steve Knaebel Award to SLP Philanthropy
<b>Global Giving</b> Asociacion Mexicana de Ayuda a Ninos AFIC Philanthropic Awards \$26,316.00	20782	05/10/12	\$26,316.00	Foundation	EFT AFIC Philanthropic Awards
<b>Autism Speaks</b> Event Sponsor - 2012 Lead Off For A Cure \$25,000.00	20724	03/08/12	\$25,000.00	Foundation	5184 Event Sponsor - 2012 Lead Off For A Cure - Event Sponsor
<b>Backfield in Motion, Inc.</b> Funding of \$20,000 to support transportation services and \$5,000 to support maintenance for vehicle fleet. \$25,000.00	20802	06/15/12	\$25,000.00	Foundation	5220  Funding for transportation services - \$20,000 and maintenance - \$5,000
<b>Bartholomew Consolidated School Corporation</b> Environmental Challenge Recipient \$10,000.00	20708	02/28/12	\$10,000.00	Foundation	5179 Environmental Challenge Recipient
<b>Bartholomew Consolidated School Corporation</b> Purchase 6 Machinery Handbooks at \$92.63 each = \$555.78. \$555.78	20714	02/17/12	\$555.78	Foundation	5173  Purchase 6 Machinery Handbooks at \$92.63 each = \$555.78.
<b>Bartholomew Consolidated School Foundation</b> First payment of a 3 year grant for the Mindy Lewis \$5,000.00	20884	11/30/12	\$5,000.00	Foundation	5262



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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
& Diversity Awards for 2012-2014 \$15,000.00					
Funding for "Minds on Math" program - 2 installments - 2012 and 2013 \$20,000.00	20887	12/12/12	\$12,000.00	Foundation	First of 3 year grant - 2012, 2013, & 2014 @ \$5,000 per year 5308
Multi-year installments grant for 2012 & 2013 (Book Buddies) \$15,000.00	20888	12/12/12	\$10,000.00	Foundation	First installment of multi-year grant for "Minds on Math" 5307
BCSC bus transportation - Henryville - Habitat builds \$1,500.00	20953	12/20/12	\$1,500.00	Foundation	First installment of multi-year payments for "Book Buddies" 5313
BCSC C4 Engineering Drawing Program Provide part-time instructor for BCSC C-4 Engineering Drawing Department \$13,000.00	20761	04/19/12	\$13,000.00	Foundation	BCSC bus transportation - Henryville - Habitat builds 5199
Global Giving Beijing Institute of Technology China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$3,963.00	20764	05/10/12	\$3,963.00	Foundation	Part-time instructor - C4 Engineering Drawing Department EFT China Strategic Education Initiative - Dr. Lyn Scholarship Funds
Big Brothers Big Sisters of Central Indiana Support of the implementation phase of the Six Sigma project conducted through a partnership between BBBSCI & Cummins through the past 18 months to improve the 12 month retention rate of their mentoring relationships. Payment 1 of 2. \$110,000.00	20589	03/14/12	\$75,000.00	Prior year payments	5188
The BOMA Fund Establish businesses and empower women in Northern Kenya \$25,000.00	20742	03/30/12	\$25,000.00	Foundation	2nd and last installment of a two year grant - Total grant \$110,000 5190
Brown County Community Foundation Support Brown County Career Resource Center in providing computer hardware and software upgrade that will enable the center to provide certified training and classes for its students. \$12,500.00	20669	01/12/12	\$12,500.00	Prior year payments	Establish businesses and empower women in Northern Kenya 5161
2013 United Way Employee Match \$43,642.98	20938	12/12/12	\$43,642.98	Foundation	Purchase of computer hardware and software for the Brown County Career Resource Center. 5263 2013 United Way Employee Match
Charleston County School District Provide supplemental science and environmental education to fourth grade students \$79,989.00	20818	08/30/12	\$79,989.00	Foundation	5241
Global Giving Chaucer Technology School Environmental Challenge Recipient \$5,000.00	20712	08/02/12	\$5,000.00	Foundation	Supplemental science and environmental education EFT-08/02/2012 Environmental Challenge Recipient
City of Elkhart 2012 Environmental Challenge Recipient \$10,000.00	20705	03/08/12	\$10,000.00	Foundation	5186 2012 Environmental Challenge

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes Recipient
<b>Columbus Area Arts Council</b> \$20 for general operating expense for 2012; and \$5K for the 2012 UnCommon CauSe \$25,000.00	20755	04/05/12	\$25,000.00	Foundation	5194  \$20 for general operation expenses for 2012 and \$5K for the 2012 UnCommon CauSe
Two year commitment for Rock the Park - \$10K in 2011 and \$10K in 2012. \$20,000.00	20427	08/15/12	\$10,000.00	Prior year payments	5237  Final Payment of a two year commitment for Rock the Park
<b>Columbus Area Chamber of Commerce</b> Funding of the Strategic Community Leadership Projects. \$10,000.00	20680	01/12/12	\$10,000.00	Foundation	5163  Funding of the Strategic Community Leadership Projects
<b>Columbus Area Chamber of Commerce Foundation</b> Support funding for the Executive Administrator for CAMEO, CYP and LBC Partnership. \$10,000.00	20839	08/15/12	\$10,000.00	Foundation	5234  Support funding for the Executive Administrator for CAMEO, CYP and LBC Partnership.
<b>Columbus Park Foundation</b> Expansion of The People Trails in Columbus, IN. \$150,000 from Cummins Foundation and \$100,000 from Columbus Committee \$150,000.00	20834	08/02/12	\$250,000.00	Foundation	EFT-08/02/2012  Expansion of The People Trails
<b>Columbus Regional Hospital Foundation</b> Donation in memory of Nancy Ann Hamilton \$500.00	20844	08/20/12	\$500.00	Foundation	5239 Donation in memory of Nancy Ann Hamilton
<b>CommonBond Communities</b> 2011 Environmental Challenge Recipient \$10,000.00	20702	02/28/12	\$10,000.00	Foundation	5176 2011 Environmental Challenge Recipient
<b>Community Center of Hope, Inc.</b> Food Bank Initiative \$5,000.00	20718	02/28/12	\$5,000.00	Foundation	5174 Food Bank Initiative
<b>Community Education Coalition</b> Grant to support a Project Manager for the Graduation Coaches Program. \$30,000.00	20668	01/12/12	\$30,000.00	Prior year payments	5159  Project Manager - Graduation Coaches Program
Support for the IU Center for Art & Design - Columbus. \$1M paid out over years 2011-2014 at \$250K per year. \$250K is conditional for year 5 \$1,000,000.00	20428	03/14/12	\$250,000.00	Prior year payments	5187  IU Center for Art & Design - Columbus - 2nd payment of \$1M Grant of \$250K - yearly 2011 thru 2014
Final payment of \$375K CONDITIONAL Grant in support of the Early Childhood Education Initiative \$125,000.00	20830	07/31/12	\$125,000.00	Foundation	5232  Final payment of \$375K CONDITIONAL Grant in support of the Early Childhood Education Initiative
<b>Community Emergency Assistance Program, Inc.</b> Funding for software licensing, backup and firewall	20777	05/09/12	\$29,000.00	Foundation	5207

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
<i>solutions for new computer system.</i> \$29,000.00					Funding for software licensing, backup and firewall solutions for new computer system
<b>Concord Neighborhood Center</b> Donation - Operating Funds \$10,000.00	20809	06/15/12	\$10,000.00	Foundation	5223 Donation
<i>Increase awareness and visibility of Concord to potential clients and funders</i> \$20,000.00	20867	10/12/12	\$20,000.00	Foundation	5254  Increase awareness and visibility of Concord to potential clients and funders
<i>Donation in the name of Carole Casto</i> \$1,000.00	20886	11/30/12	\$1,000.00	Foundation	5260 Donation in the name of Carole Casto
<b>Connected Community Partnership Inc.</b> <i>Provide website services to other non-profits in Columbus and Seymour</i> \$13,500.00	20868	10/12/12	\$13,500.00	Foundation	5255  Provide website services to other non- profits in Columbus and Seymour
<b>The Conservation Fund</b> <i>2012 Corporate Sponsor</i> \$2,500.00	20810	06/18/12	\$2,500.00	Foundation	5224 2012 Corporate Sponsor
<b>Courage Center</b> <i>Funding to Elevate the Courage Center's AT program to a national level of prominence.</i> \$222,270.00	20685	01/12/12	\$222,270.00	Foundation	5165  Funding to establish a "National" rehabilitation center of excellence
<b>Crisis Ministries</b> <i>Funding for 6 Sigma project and Deep Freezer</i> \$20,222.00	20801	06/15/12	\$20,222.00	Foundation	5219 Funding for 6 Sigma Project and Deep Freezer
<b>Cummins India Foundation</b> <i>Enviromental Challenge awards - Janwani - \$10,000; The Poona School &amp; Home for Blind - \$15,000; Swayamsiddha Apang &amp; Samaj vikas Kendra - \$5,000</i> \$30,000.00	20760	04/02/12	\$30,000.00	Foundation	EFT - 04/02/12  3 - India Environmental Challenge Awards
<i>Shree Ramkrishna Charities - Final Payment from Cummins Foundation</i>	20301	08/10/12	\$25,000.00	Foundation	EFT - 08/10/2012
<b>Global Giving</b> Dandelion Middle School <i>Extracurricular programs for student's with physical and psychological needs</i> \$18,885.00	20832	08/02/12	\$18,885.00	Foundation	EFT-08/02/2012  Extracurricular programs for student's with physical and psychological needs
<b>Global Giving</b> Dandelion School <i>Eco-School Energy and Water Efficiency. \$18,100 - Incubator and \$20,400 CDG</i> \$20,400.00	20824	06/13/12	\$38,500.00	Foundation	EFT-06/13/2012  Eco-School Energy and Water Efficiency - \$20,400 CDG
<b>atur County United Fund, Inc.</b> <i>2013 United Way Employee Match</i> \$4,971.02	20936	12/12/12	\$4,971.02	Foundation	5264 2013 United Way Employee Match

Developmental Services Inc

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Funding to purchase and assemble 5 greenhouses \$15,065.00	20778	05/09/12	\$15,065.00	Foundation	5206 Funding to purchase and assemble 5 greenhouses
Dream It Do It Western New York, Inc. Three year grant. Conditions on the 2nd and 3rd year \$90,000.00	20954	12/20/12	\$90,000.00	Foundation	EFT- 12/20/2012  First payment of three year conditional grant (2nd and 3rd years)
Global Giving Durham Wildlife Trust Develop wildlife friendly areas in urban areas \$9,608.00	20693	05/10/12	\$9,608.00	Foundation	EFT Develop wildlife friendly areas in urban areas
On-site viewing platform made from recycled plastic and a viewing screen made from recycled plastic. \$12,250.00	20827	07/25/12	\$12,250.00	Foundation	EFT - 07/25/2012  On-site viewing platform made from recycled plastic and a viewing screen made from recycled plastic.
EARTH University Foundation 4th payment - this was a 4 year \$60K grant for scholarships - \$15K per year from 2009 through 2012. 2009 was paid under a separate entry in GIFTS. \$15,000.00	20747	04/24/12	\$15,000.00	Prior year payments	5202  4th and final payment of a 4 year \$60K grant for scholarships
st Side Community Center Materials to help define and remodel space \$50,000.00	20831	08/15/12	\$50,000.00	Foundation	5236 Materials to help define and remodel space
Global Giving Ecofficina Youth at Risk - promoting a training course using recycled material \$19,400.00	20833	08/02/12	\$19,400.00	Foundation	EFT-08/02/2012  Youth at Risk - promoting a training course using recycled material
Ecumenical Assembly of Bartholomew County Churches Love Chapel - Environmental Challenge Recipient \$10,000.00	20706	02/28/12	\$10,000.00	Foundation	5178 Love Chapel - Environmental Challenge Recipient
2-year grant to the Emergency Assistance Fund. The payment in July 2012 will be the last payment for the EAF. Any additional funding to Love Chapel will need to go through a CIT & involve some degree of employee engagement. \$20,000.00	20509	08/30/12	\$10,000.00	Prior year payments	5242  Emergency Assistance Fund - Final Payment of two-year grant
Global Giving Education Business Partnership To implement and promote a suitably equipped Employability School for young people from all over Kent \$106,112.00	20748	04/10/12	\$106,112.00	Foundation	EFT- 04/10/2012  To implement and promote a suitably equipped Employability School for young people from all over Kent
Global Giving Falconer's Hill Infant School Conditional Grant - Conditions of \$55,000 raised by Falconer's and \$55,000 given by Daventry Plant	20774	07/24/12	\$55,000.00	Foundation	EFT - 07/24/2012

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
\$55,000.00					Conditional Grant - Conditions of \$55,000 raised by Falconer's and \$55,000 given by Daventry Plant
<i>Funding based on fundraising or pledges of \$129,000</i> \$77,000.00	20943	12/13/12	\$77,000.00	Foundation	EFT 12/13/2012  Funding based on fundraising or pledges of \$129,000
<b>Family Nurturing Center</b> <i>Conditions of Grant to match dollar per dollar met - up to \$25,000</i> \$25,000.00	20785	05/09/12	\$25,000.00	Foundation	5208
<i>Conditions of Grant to match dollar per dollar met - up to \$25,000</i> \$25,000.00	20785	05/09/12	(\$25,000.00)	Foundation	Reissue of Payment [12150] for \$25,000.00, paid on 5/9/2012. VOID - 5204
<i>Conditions of Grant to match dollar per dollar met - up to \$25,000</i> \$25,000.00	20785	05/09/12	\$25,000.00	Foundation	Void of Payment [12150] for \$25,000.00, paid on 5/9/2012. 5204
<b>Flowertown Elementary School</b> <i>Funding for Green Bees Greenhouse.</i> \$9,815.00	20684	01/24/12	\$9,815.00	Foundation	5166 Funding for Green Bees Greenhouse
<b>Food Bank of Eastern New Mexico</b> <i>Funding to Purchase a New Refrigerated Truck.</i> \$123,055.00	20686	01/12/12	\$123,055.00	Foundation	5164 Funding for a "Refrigerated Truck"
<i>Reduce electrical utilization/reduce carbon foot print</i> \$17,000.00	20826	07/30/12	\$17,000.00	Foundation	5230  Reduce electrical utilization/reduce carbon foot print - Incubator Grant
<b>Global Giving</b> Friends of Nature <i>Funding for the Urban Community based Zero-Waste Project.</i> \$20,000.00	20682	01/24/12	\$20,000.00	Foundation	Global Giving - EFT  Funding for the Urban Community based Zero-Waste Project.
<i>Public Education Campaign, Recycling System Workshops</i> \$17,309.00	20807	06/13/12	\$17,309.00	Foundation	EFT-06/13/2012  Public Education Campaign, Recycling System Workshops
<b>Gleaners Food Bank of Indiana Inc.</b> <i>Funding to cover painting contractors</i> \$7,700.00	20694	01/26/12	\$7,700.00	Foundation	5168 Funding to cover painting contractors
<i>Improve client tracking and reporting of data for Gleaners and nine other food pantries</i> \$13,100.00	20866	10/12/12	\$13,100.00	Foundation	5256  Improve client tracking and reporting of data for Gleaners and nine other food pantries
<i>CMEP final Match Money for year three of the Back Sacks Program.</i> \$25,000.00	20874	10/31/12	\$25,000.00	Foundation	5258  CMEP final Match Money for year three of the Back Sacks Program.
<i>Seymour Engine Plant final Match Money for year three of the Back Sacks Program.</i> \$20,000.00	20873	10/31/12	\$20,000.00	Foundation	5257  Seymour Engine Plant final Match Money for year three of the Back Sacks Program.

Global Giving

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Global Village of Beijing Environmental Challenge Recipient - \$10,000 from Chogqing CIT and \$10,000 from Chengdu Branch CIT \$20,000.00	20711	03/28/12	\$20,000.00	Foundation	EFT - 03/28/2012  Invoice # Cummins 3152012-1 = Global Village of Beijing and Sandwich School of Technology
Solution for household waste and agriculture pollution \$24,737.00	20781	05/10/12	\$24,737.00	Foundation	EFT  Solution for household waste and agriculture pollution
Greater Twin Cities United Way 2013 United Way Employee Match \$272,804.46	20934	12/12/12	\$272,804.46	Foundation	5265 2013 United Way Employee Match
Habitat for Humanity of Indiana Sponsorship for the Henryville Rebuild Project - Henryville, Indiana \$50,000.00	20847	09/14/12	\$50,000.00	Foundation	5244  Henryville Rebuild Project - Henryville, Indiana
Global Giving Hacsung Orphanage General Support for the Orphanage \$5,000.00	20821	07/03/12	\$5,000.00	Foundation	EFT-07/03/2012 General Support for the Orphanage
Hands on Nashville Fund Phase 2 project - repair flood damaged waterways in Nashville-Davidson County. \$32,000.00	20855	09/14/12	\$32,000.00	Foundation	5246  Repair flood damaged waterways in Nashville-Davidson County
Global Giving Harewood Hill Lodge Short break and day care service for children and young people with physical and learning disabilities. \$72,050.00	20825	07/24/12	\$72,050.00	Foundation	EFT - 07/24/2012  Short break and day care service for children and young people with physical and learning disabilities.
Harold Hatter Project Manager for Bartholomew Consolidated Schools	20671	01/12/12	\$36,100.00	Foundation	
Henry County United Fund 2013 United Way Employee Match \$50.00	20933	12/12/12	\$50.00	Foundation	5266 2013 United Way Employee Match
Heritage Fund of Bartholomew County Bond Analysis \$30,000.00	20763	04/19/12	\$30,000.00	Foundation	5201 Bond Analysis
Donation to the Dave Galle Scholarship Fund \$10,000.00	20862	09/19/12	\$10,000.00	Foundation	5249 Donation to the Dave Galle Scholarship Fund
Global Giving Hotel Hope Environment Challenge Recipient \$5,000.00	20709	05/15/12	\$5,000.00	Foundation	EFT Environment Challenge Recipient
Living Partnerships, Inc. Environmental Challenge Recipient \$10,000.00	20707	02/28/12	\$10,000.00	Foundation	5177 Environmental Challenge Recipient
Energy efficiency improvements for elderly in Elizabethtown, IN	20797	05/31/12	\$12,950.00	Foundation	5215

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\$12,950.00					Energy Efficiency Improvements - Elizabethtown, IN
<b>Global Giving</b> Huazhong University of Science and Technology <i>China Strategic Education Initiative - Dr. Lyn Scholarship Funds</i> \$3,963.00	20770	05/10/12	\$3,963.00	Foundation	EFT  China Strategic Education Initiative - Dr. Lyn Scholarship Funds
<b>Indiana Repertory Theatre</b> <i>Event "Gold" Sponsorship</i> \$6,000.00	20719	02/28/12	\$6,000.00	Foundation	5175 Event "Gold" Sponsorship
<b>Indiana University</b> <i>Donation to the Lee Hamilton Fellowship Award</i> \$10,000.00	20715	02/17/12	\$10,000.00	Foundation	5172 Donation to the Lee Hamilton Fellowship Award
<b>Initiative for Global Development</b> <i>Grant to offset cost for participant to attend the New Strategies Forum in May, 2012</i> \$3,500.00	20744	04/02/12	\$3,500.00	Foundation	5193  Grant to offset cost for participant to attend the New Strategies Forum in May, 2012
<b>Global Giving</b> Institucion Potosina Pro-Educacion Especializada A.C.  <i>Funding to build a vocational training space for people with intellectual disabilities.</i> \$75,000.00	20681	01/24/12	\$75,000.00	Foundation	Global Giving - EFT  Funding to build a vocational training space for people with intellectual disabilities.
<b>Global Giving</b> International Association of the Lions Club <i>Install two water dams/pans in the Samburu region of eastern Kenya.</i> \$35,300.00	20697	02/08/12	\$35,300.00	Foundation	Global Giving - EFT  Install two water dams/pans in the Samburu region of eastern Kenya.
<b>Global Giving</b> Ithemba Institute of Technology <i>Ithemba Institute of Technology. Second and last installment of a two-year grant</i> \$125,000.00	20758	04/10/12	\$125,000.00	Foundation	EFT - 04/10/2012  Ithemba Institute of Technology. Second and last installment of a two- year grant
<b>Global Giving</b> Ivy Tech Foundation <i>Graduation Coaches Program - Q2 2012 request amount. Conditions have been met.</i> \$175,000.00	20787	05/16/12	\$175,000.00	Foundation	EFT - May 16, 2012  Graduation Coaches Program - Q2 2012 request amount. Conditions have been met.
<b>Jackson County United Way</b> <i>2013 United Way Employee Match</i> \$55,849.64	20932	12/12/12	\$55,849.64	Foundation	5267 2013 United Way Employee Match
<b>Jamestown Community College Foundation Inc.</b> <i>Provide support to assist with the purchase of science equipment for the new science facility at Jamestown Community College.</i> 50,000.00	20271	09/28/12	\$16,666.00	Foundation	5251  Final Payment of 3-year grant of \$50K to provide support for the purchase of equipment for the new science facility

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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
<b>Jefferson County United Way</b> 2013 United Way Employee Match \$3,433.00	20931	12/12/12	\$3,433.00	Foundation	5268 2013 United Way Employee Match
<b>Jennings County United Way</b> 2013 United Way Employee Match - Jennings: \$31,320.61 and Ripley: \$1,786.00. Total : \$33,106.61 \$33,106.61	20930	12/12/12	\$33,106.61	Foundation	5269  2013 United Way Employee Match
<b>Juarez United Way</b> 2013 United Way Employee Match \$8,527.16	20935	12/12/12	\$8,527.16	Foundation	5270 2013 United Way Employee Match
<b>Kandu Industries, Inc.</b> Environmental Challenge Recipient \$10,000.00	20704	02/28/12	\$10,000.00	Foundation	5180 Environmental Challenge Recipient
<b>Global Giving</b> Kwa-Bhekilanga Secondary School Improve passing rate of mathematics students in Grades 8-10 through software program \$46,500.00	20942	12/13/12	\$15,500.00	Foundation	EFT 12/13/2012  Multi-year agreement @ \$15,500 each year three years 2012, 2013, 2014
<b>Ladson Elementary School</b> Funding for a Greenhouse and Outdoor classroom on the grounds of Ladson Elementary School. \$23,868.00	20679	01/12/12	\$23,868.00	Foundation	5162  Funding for Greenhouse and Outdoor classroom
<b>The Library Project, Inc.</b> Funding for Elementary School Libraries throughout China \$79,233.00	20727	03/14/12	\$79,233.00	Foundation	EFT  Funding for Elementary School Libraries throughout China
<b>Global Giving</b> Maharashtra Arogya Mandal (MAM) Ravi Subramaniam Honorarium \$5,000.00	20775	05/10/12	\$5,000.00	Foundation	EFT Ravi Subramaniam Honorarium
<b>Marshfield Area United Way</b> 2013 United Way Employee Match \$3,127.85	20929	12/12/12	\$3,127.85	Foundation	5271 2013 United Way Employee Match
<b>Global Giving</b> Masakhane Creche Environmental Challenge Recipient \$5,000.00	20710	05/10/12	\$5,000.00	Foundation	EFT Environmental Challenge Recipient
<b>Metro United Way of Floyd County</b> 2013 United Way Match - Clark: \$2,014.80; Floyd: \$852.00; and Harrison: \$120.00. Total: \$2,986.80  \$2,986.80	20928	12/12/12	\$2,986.80	Foundation	5272  2013 United Way Match - Clark: \$2,014.80; Floyd: \$852.00; and Harrison: \$120.00. Total: \$2,986.80
<b>Mile High United Way</b> 2013 United Way Match \$480.00	20927	12/12/12	\$480.00	Foundation	5273 2013 United Way Match
<b>The Mind Trust</b> Summer Advantage Program - Conditional Grant - 2nd Payment. \$100,000.00	20674	06/13/12	\$100,000.00	Prior year payments	EFT-06/13/2012  Summer Advantage Program - Conditional Grant - 2nd Payment.



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Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
<b>Mineral Point Unified School District</b> Provide a safe, eco-friendly and ADA accessible playground \$37,075.00	20717	03/08/12	\$37,075.00	Foundation	5185  Provide a safe, eco-friendly and ADA accessible playground
<b>Montgomery United Fund for You</b> 2013 United Way Match \$6,780.00	20926	12/12/12	\$6,780.00	Foundation	5274 2013 United Way Match
<b>National Society of Black Engineers</b> 3-year grant to support NSBE's Seek Summer Camp at \$25K each year - 2011/2012/2013. Khai Jones is the Cummins liaison for this program. \$75,000.00	20500	06/15/12	\$25,000.00	Prior Year Payments - Foundation	5218  Payment #2 of 3 year grant for the NSBE's Seek Summer Camp
<b>Northeast Region - Canine Companions for Independence</b> Donation in memory of Nancy Ann Hamilton \$500.00	20842	08/15/12	\$500.00	Foundation	5238 Donation in memory of Nancy Ann Hamilton
<b>Orphan Grain Train - Indiana Branch</b> Inventory control system, hardware and software \$5,500.00	20848	09/14/12	\$5,500.00	Foundation	5245 Inventory control system, hardware and software
<b>Global Giving</b> Patronato Pro Regeneracion del Parque Juan H Sanchez A.C. Improve water quality of Parque Morales Boating Lake in downtown San Luis Potosi. Incubator Grant \$88,000.00	20870	10/02/12	\$88,000.00	Foundation	EFT - 10/02/2012  Improve water quality of Parque Morales Boating Lake in downtown San Luis Potosi. Incubator Grant
<b>Global Giving</b> Promocion Social Integral A.C. (Colonia Juvenil) AFIC Philanthropy Award \$17,409.00	20783	05/10/12	\$17,409.00	Foundation	EFT AFIC Philanthropic Awards
<b>Putnam County School System</b> Lab equipment for the "Stem" program \$25,000.00	20803	07/13/12	\$25,000.00	Foundation	5227 Lab equipment for the "Stem" program
<i>Student volunteerism of three Global Priority Areas</i> \$4,500.00	20819	07/13/12	\$4,500.00	Foundation	5229  Student volunteerism of three Global Priority Areas
<b>The Putnam/Overton County Long Term Recovery Committee, Inc.</b> Disaster Relief - Tornado \$10,000.00	20800	06/15/12	\$10,000.00	Foundation	5217 Disaster Relief
<b>Ripley County Community Foundation, Inc.</b> Funds to help build better warning systems in the town of Holton, IN \$20,000.00	20829	07/30/12	\$20,000.00	Foundation	5231  Funds to help build better warning systems in the town of Holton, IN
<b>Global Giving</b> Rowan Gate Primary School Improve lighting at Rowan Gate Primary School \$27,000.00	20876	11/07/12	\$27,000.00	Foundation	EFT-11/07/2012 Improve lighting at Rowan Gate Primary School

## 2012 Paid Program Grants - Cummins Foundation

08/14/2013

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Rush County United Fund 2013 United Way Match \$288.00	20924	12/12/12	\$288.00	Foundation	5275 2013 United Way Match
Global Giving Sandwich Technology School Environmental Challenge Recipient \$5,000.00	20713	03/28/12	\$5,000.00	Foundation	EFT - 03/28/2012 Due Diligence Fees - Global Village of Beijing and Sandwich School of Technology
Sans Souci Inc. Environmental Challenge Recipient. \$10,000.00	20703	02/28/12	\$10,000.00	Foundation	5181 Environmental Challenge Recipient.
Save the Children Federation Inc. Contribution to Save the Children Federation Inc.'s Children in Emergencies Fund to support Education in Emergencies. This is a \$750K CONDITIONAL grant; 2nd Installment. \$250,000.00	20746	04/03/12	\$250,000.00	Foundation	EFT  2nd Installment of a \$750,000 Conditional Grant
Second Harvest Foodbank of Southern Wisconsin Implement warehouse efficiency improvements \$103,552.00	20820	07/13/12	\$103,552.00	Foundation	5228 Implement warehouse efficiency improvements
Global Giving Secours Populaire Francais - Comite de Quimper Improve Safety and Storage in the Secours Populaire Francais building. \$11,700.00	20875	11/07/12	\$11,700.00	Foundation	EFT-11/07/2012  Improve Safety and Storage in the Secours Populaire Francais building
Seymour Community Schools The Mind Trust/Summer Advantage Program - Funds for Transportation 2012 \$5,000.00	20673	06/15/12	\$5,000.00	Prior year payments	5221  Transportation for Summer Advantage Program for 2012 (Final Payment)
Global Giving Shanghai Jiao Tong University China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$3,963.00	20765	05/10/12	\$3,963.00	Foundation	EFT  China Strategic Education Initiative - Dr. Lyn Scholarship Funds
Global Giving Shanghai Roots & Shoots Organic garden program \$8,100.00	20869	10/02/12	\$8,100.00	Foundation	EFT - 10/02/2012 Organic garden program
Shelby County United Fund, Inc. 2013 United Way Match \$20,535.50	20923	12/12/12	\$20,535.50	Foundation	5276 2013 United Way Match
Global Giving SIFE China Collaborate with SIFE China to provide safety, health and nutritional training to fifteen Migrant Worker's Schools. \$40,635.00	20692	02/08/12	\$40,635.00	Foundation	Global Giving - EFT  Collaborate with SIFE China to provide safety, health and nutritional training to fifteen Migrant Worker's Schools.
Funding to reduce straw waste air pollution and reuse to increase income and standard of living \$8,225.00	20849	08/30/12	\$8,225.00	Foundation	EFT08/30/2012  Reduce straw waste air pollution and

# 2012 Paid Program Grants - Cummins Foundation

08/14/2013

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
					reuse to increase income and standard of living
<b>Stoughton Area School District</b> Matching Funds to implement "Fab Lab" site in Stoughton High School \$100,000.00	20952	12/19/12	\$100,000.00	Foundation	5312  Matching Funds to implement "Fab Lab" site in Stoughton High School
<b>Strategic Twin-Counties Education Partnership (STEP)</b> Funding for Executive Director for STEP Program \$75,000.00	20878	11/01/12	\$75,000.00	Foundation	EFT - 11/01/2012 Funding for Executive Director for STEP Program
<b>Global Giving</b> Tembaleta Trust Funding for The Tembaleta Trust Reading Project \$8,600.00	20941	12/13/12	\$8,600.00	Foundation	EFT 12/13/2012 Funding for The Tembaleta Trust Reading Project
<b>Global Giving</b> Tianjin University China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$3,963.00	20766	05/10/12	\$3,963.00	Foundation	EFT  China Strategic Education Initiative - Dr. Lyn Scholarship Funds
<b>Trident United Way</b> 2013 United Way Match \$114,055.54	20922	12/12/12	\$114,055.54	Foundation	5277 2013 United Way Match
<b>Training Point Shelter for Domestic Violence</b> 2012 Dance Marathon \$3,000.00	20885	11/30/12	\$3,000.00	Foundation	5261 2012 Dance Marathon
<b>United Fund of Warren County</b> 2013 United Way Employee Match \$27,352.90	20921	12/12/12	\$27,352.90	Foundation	5278 2013 United Way Match
<b>United Way of Bartholomew County</b> Cummins Foundation Match - The Tony and Marya Rose Foundation. \$1,000.00	20676	01/12/12	\$1,000.00	Foundation	5156  Cummins Foundation Match - The Tony and Marya Rose Foundation.
Training expense support - for United Way of Bartholomew County Andar system \$700.00	20789	05/17/12	\$700.00	Foundation	5212  Training expense support - Andar System
211 (United Way) Multi-County EEEEC Event (Door Hanger Blitz) \$1,000.00	20863	09/28/12	\$1,000.00	Foundation	5250  211 (United Way) Multi-County EEEEC Event (Door Hanger Blitz)
2013 - United Way Employee Match \$1,190,623.72	20908	12/12/12	\$1,190,623.72	Foundation	5279 2013 United Way Match
<b>United Way of Monroe County</b> 2013 - United Way Employee Match \$9,016.00	20909	12/12/12	\$9,016.00	Foundation	5280 2013 United Way Match
<b>United Way of Broward County</b> 2013 United Way Employee Match \$700.00	20919	12/12/12	\$700.00	Foundation	5281 2013 United Way Match
<b>United Way of Cattaraugus County</b> 2013 United Way Employee Match \$11,739.00	20918	12/12/12	\$11,739.00	Foundation	5282 2013 United Way Match

08/14/2013

## 2012 Paid Program Grants - Cummins Foundation

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
<b>United Way of Central Indiana</b> 2013 - United Way Employee Match for: Boone-\$7044.00; Hancock-\$3,196.00; Hamilton-\$16,663.08; Hendricks-\$960.00; Marion-\$134,926.31; and Morgan-\$6,080.08 - Totaling \$168,869.47	20907	12/12/12	\$168,869.47	Foundation	5283  2013 - United Way Employee Match for: Boone-\$7044.00; Hancock-\$3,196.00; Hamilton-\$16,663.08; Hendricks-\$960.00; Marion-\$134,926.31; and Morgan-\$6,080.08 - Totaling \$168,869.47
<b>United Way of Dane County</b> 2013 United Way Employee Match for Mineral Point - \$7,789.20 and Stoughton - \$25,030.57 = Total \$32,819.77	20917	12/12/12	\$32,819.77	Foundation	5284  2013 United Way Employee Match for Mineral Point - \$7,789.20 and Stoughton - \$25,030.57 = Total \$32,819.77
<b>United Way of Eastern New Mexico, Inc.</b> 2013 United Way Employee Match \$3,191.00	20937	12/12/12	\$3,191.00	Foundation	5285 2013 United Way Employee Match
<b>United Way of El Paso County</b> 2013 United Way Employee Match \$3,408.00	20916	12/12/12	\$3,408.00	Foundation	5286 2013 United Way Employee Match
<b>United Way of Elkhart County</b> 2013 United Way Employee Match \$2,590.75	20915	12/12/12	\$2,590.75	Foundation	5287 2013 United Way Employee Match
<b>United Way of Erie County</b> 2013 United Way Employee Match \$3,055.00	20920	12/12/12	\$3,055.00	Foundation	5288 2013 United Way Employee Match
<b>United Way of Franklin County</b> 2013 - United Way Employee Match \$1,176.00	20906	12/12/12	\$1,176.00	Foundation	5289 2013 United Way Employee Match
<b>United Way of Greater Cincinnati - Northern Kentucky</b> 2013 United Way Employee Match \$22,993.03	20939	12/12/12	\$22,993.03	Foundation	5290 2013 United Way Employee Match
<b>United Way of Greater Cincinnati (Dearborn County)</b> 2013 - United Way Employee Match \$471.60	20903	12/12/12	\$471.60	Foundation	5291 2013 United Way Employee Match
<b>United Way of Greater Lafayette &amp; Tippecanoe County</b> 2013 - United Way Employee Match \$600.00	20902	12/12/12	\$600.00	Foundation	5292 2013 United Way Employee Match
<b>United Way of Howard County</b> 2013 United Way Employee Match \$240.00	20914	12/12/12	\$240.00	Foundation	5293 2013 United Way Employee Match
<b>United Way of Jay County</b> 2013 United Way Employee Match \$100.08	20913	12/12/12	\$100.08	Foundation	5294 2013 United Way Employee Match

## 2012 Paid Program Grants - Cummins Foundation

08/14/2013

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
United Way of Johnson County 2013 - United Way Employee Match \$116,631.70	20901	12/12/12	\$116,631.70	Foundation	EFT 2013 - United Way Employee Match
United Way of Madison County 2013 - United Way Employee Match \$1,232.00	20900	12/12/12	\$1,232.00	Foundation	5295 2013 United Way Employee Match
United Way of Metropolitan Nashville 2013 - United Way Employee Match \$93,999.62	20912	12/12/12	\$93,999.62	Foundation	5296 2013 United Way Employee Match
United Way of North Central Iowa  2013 - United Way Employee Match \$6,493.00	20911	12/12/12	\$6,493.00	Foundation	  2013 United Way Employee Match
United Way of Perry County 2013 - United Way Employee Match \$168.00	20899	12/12/12	\$168.00	Foundation	5298 2013 United Way Employee Match
United Way of Putnam County 2013 - United Way Employee Match \$25,660.89	20905	12/12/12	\$25,660.89	Foundation	5300 2013 United Way Employee Match
United Way of Putnam County 2013 - United Way Employee Match \$144.00	20904	12/12/12	\$144.00	Foundation	5299 2013 United Way Employee Match
United Way of Scott County 2013 - United Way Employee Match \$2,764.00	20897	12/12/12	\$2,764.00	Foundation	5301 2013 United Way Employee Match
United Way of South Central Indiana 2013 - United Way Employee Match \$844.00	20896	12/12/12	\$844.00	Foundation	5302 2013 United Way Employee Match
United Way of Southern Chautauqua County 2013 - United Way Employee Match \$138,418.66	20910	12/12/12	\$138,418.66	Foundation	EFT 2013 - United Way Employee Match
United Way of Southwestern Indiana 2013 - United Way Employee Match \$312.00	20895	12/12/12	\$312.00	Foundation	5303 2013 United Way Employee Match
United Way of the Mid-South Cummins Foundations Match for 2012 Campaign. \$23,851.36	20687	01/24/12	\$23,851.36	Foundation	5167 Cummins Foundations Match for 2012 Campaign.
2013 United Way Employee Match \$20,870.12	20946	12/14/12	\$20,870.12	Foundation	5311 2013 United Way Employee Match
United Way of the Wabash Valley 2013 - United Way Employee Match \$240.00	20894	12/12/12	\$240.00	Foundation	5304 2013 United Way Employee Match
United Way of Whitewater Valley 2013 - United Way Employee Match \$372.00	20893	12/12/12	\$372.00	Foundation	5305 2013 United Way Employee Match
United Way Tar River Region 2013 United Way Match \$205,956.72	20925	12/12/12	\$205,956.72	Foundation	EFT 2013 - United Way Employee Match

## 2012 Paid Program Grants - Cummins Foundation

08/14/2013

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Recovery of March 12, 2012 Tornadoes - Middle TN region \$20,000.00	20788	05/16/12	\$20,000.00	Foundation	5211  Recovery of March 12, 2012 Tornadoes - Middle TN region
<b>Global Giving</b> The Welland Rivers Trust Funding for Restoration of the Stamford Mill Stream. \$32,000.00	20683	05/10/12	\$32,000.00	Foundation	EFT  Funding for Restoration of the Stamford Mill Stream.
<b>Wisconsin Vietnam Veterans Memorial Project Inc.</b>  Funds for completion of the DVD project, roof replacement and building replacement. \$20,000.00	20864	09/28/12	\$20,000.00	Foundation	5252  Funds for completion of the DVD project, roof replacement and building replacement.
<b>Global Giving</b> Wuhan University of Technology Auto & Power China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$4,756.00	20768	05/10/12	\$4,756.00	Foundation	EFT  China Strategic Education Initiative - Dr. Lyn Scholarship Funds
<b>Global Giving</b> Wuxi Social Welfare Centre Build seven specialized rooms to improve the physical, psychological, and social ability of children with cerebral palsy through scientific, correct, and effective functional rehabilitation training. \$203,520.00	20749	04/10/12	\$203,520.00	Foundation	EFT - 04/10/2012  Build seven specialized rooms to improve the physical, psychological, and social ability of children with cerebral palsy through scientific, correct, and effective functional rehabilitation training.
<b>Global Giving</b> Xi'an Jiao Tong University China Strategic Education Initiative - Dr. Lyn Scholarship Funds \$3,963.00	20767	05/10/12	\$3,963.00	Foundation	EFT  China Strategic Education Initiative - Dr. Lyn Scholarship Funds
<b>Global Giving</b> Yorkshire Wildlife Trust To start the renovation of farm buildings on a community farm run by the Yorkshire Wildlife Trust. \$55,000.00	20691	02/08/12	\$55,000.00	Foundation	Global Giving - EFT  To start the renovation of farm buildings on a community farm run by the Yorkshire Wildlife Trust.
<b>Global Giving</b> Young Enterprise "Helping Children Succeed Through Entrepreneurship" \$10,200.00	20877	11/07/12	\$10,200.00	Foundation	EFT-11/07/2012  "Helping Children Succeed Through Entrepreneurship"
<b>Youth Leadership Bartholomew County</b> Support for annual student leadership seminar on Sept. 2012 hosted by the Columbus Service League and Rotary. \$1,000.00	20822	07/13/12	\$1,000.00	Foundation	5226  Support for annual student leadership seminar on Sept. 2012
<b>Grand Total</b>			\$7,328,385.73		

**The Cummins Foundation Inc.**  
**35-6042373**  
**Exhibit E**  
**2012 Grant Summary - Unpaid Commitments**  
**Balance as of 12/31/2012**

<b>The Cummins Foundation - 2012 Grant Summary - Unpaid Commitments</b>	<b>Balance</b>
	<b>12/31/12</b>
<b>IU Center for Art &amp; Design</b>	
\$1M Grant, \$250K each year for 4-years beginning 2011	\$500,000
<b>National Society of Black Engineers</b>	
\$75k Grant, \$25K each year for 3-years beginning 2011	\$25,000
<b>MN Indian Women's Resource Center</b>	
\$100K Grant,	\$100,000
<b>Bartholomew Consolidated School Foundation</b>	
Bartholomew Consolidated School Foundation - Diversity Award	\$10,000
Bartholomew Consolidated School Foundation - Book Buddies	\$5,000
Bartholomew Consolidated School Foundation - Minds on Math	\$8,000
<b>Global Giving - Kwa-Bhekilange Secondary School</b>	
\$100K Grant, No payments made in 2012	\$31,000
<b>The Mind Trust - Summer Advantage Program</b>	
\$100K Grant	\$100,000
<b>Total</b>	<b>\$779,000</b>

NP-20

State Form 51062  
(R6 / 8-12)

Indiana Department of Revenue  
**Indiana Nonprofit Organization's Annual Report**  
**For the Calendar Year or Fiscal Year**  
Beginning 01 01 2012 and Ending 12 31 2012  
MM/DD/YYYY MM/DD/YYYY

Check if:  Change of Address  
 Amended Report  
 Final Report: Indicate Date Closed \_\_\_\_\_

Due on the 15th day of the 5th month following the end of the tax year.  
NO FEE REQUIRED.

Name of Organization <b>THE CUMMINS FOUNDATION INC.</b>		Telephone Number <b>812 377 3321</b>
Address <b>BOX 3005 M/C 60113</b>		County <b>BARTHOLOMEW</b>
City <b>COLUMBUS</b>	State <b>IN</b>	Indiana Taxpayer Identification Number <b>0003135870 000</b>
Printed Name of Person to Contact <b>BRIAN HAUSERSPERGER</b>		Zip Code <b>47202-3005</b>
		Federal Identification Number <b>35 6042373</b>
		Contact's Telephone Number <b>812 377 6144</b>

If you are filing a federal return, attach a completed copy of Form 990, 990EZ, or 990PF.

**Note:** If your organization has unrelated business income of more than \$1,000 as defined under Section 513 of the Internal Revenue Code, you must also file Form IT-20NP.

**Current Information**

1. Have any changes not previously reported to the Department been made in your governing instruments, (e.g.) articles of incorporation, bylaws, or other instruments of similar importance? If yes, attach a detailed description of changes.
2. Indicate number of years your organization has been in continuous existence. 59.
3. Attach a schedule, listing the names, titles and addresses of your current officers.
4. Briefly describe the purpose or mission of your organization below.

PLEASE SEE EXHIBIT B OF FEDERAL FORM 990-PF ATTACHED FOR A LISTING OF THE CURRENT OFFICERS AND SEE EXHIBIT C OF FEDERAL FORM 990-PF ATTACHED FOR A DETAILED DESCRIPTION OF THE ORGANIZATION'S MISSION

Email Address: \_\_\_\_\_

I declare under the penalties of perjury that I have examined this return, including all attachments, and to the best of my knowledge and belief, it is true, complete, and correct.

*Marsha K. Allarano*  
Signature of Officer or Trustee

TREASURER  
Title

8/15/13  
Date

BRIAN HAUSERSPERGER  
Name of Person(s) to Contact

812 377 6144  
Daytime Telephone Number

**Important:** Please submit this completed form and/or extension to:  
Indiana Department of Revenue, Tax Administration  
P.O. Box 7147  
Indianapolis, IN 46207-7147  
Telephone: (317) 232-0129

**Extensions of Time to File**

The Department recognizes the Internal Revenue Service application for automatic extension of time to file, Form 8868. Please forward a copy of your federal extension, identified with your Nonprofit Taxpayer Identification Number (TID), to the Indiana Department of Revenue, Tax Administration by the original due date to prevent cancellation of your sales tax exemption. Always indicate your Indiana Taxpayer Identification number on your request for an extension of time to file.

Reports post marked within thirty (30) days after the federal extension due date, as requested on Federal Form 8868, will be considered as timely filed. A copy of the federal extension must also be attached to the Indiana report. In the event that a federal extension is not needed, a taxpayer may request in writing an Indiana extension of time to file from the: Indiana Department of Revenue, Tax Administration, P.O. Box 7147, Indianapolis, IN 46207-7147, (317) 232-0129.

If Form NP-20 or extension is not timely filed, the taxpayer will be notified by the Department pursuant to I.C. 6-2.5-5-21(d), to file Form NP-20. If within sixty (60) days after receiving such notice the taxpayer does not file Form NP-20, the taxpayer's exemption from sales tax will be canceled.



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